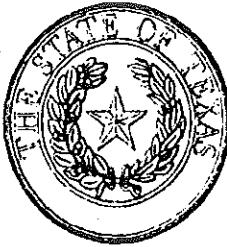


UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA §  
McCOLLUM, individually, and STEPHANIE §  
KINGREY, individually and as independent §  
administrator of the Estate of LARRY GENE §  
McCOLLUM, §  
PLAINTIFFS §  
§  
v. § CIVIL ACTION NO.  
§ 4:14-cv-3253  
§ JURY DEMAND  
BRAD LIVINGSTON, JEFF PRINGLE, §  
RICHARD CLARK, KAREN TATE, §  
SANDREA SANDERS, ROBERT EASON, the §  
UNIVERSITY OF TEXAS MEDICAL §  
BRANCH and the TEXAS DEPARTMENT OF §  
CRIMINAL JUSTICE. §  
DEFENDANTS §

Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 171**



Texas Department of Criminal Justice

Brad Livingston  
Executive Director

Administrative Incident Review

TEXAS DEPARTMENT OF CRIMINAL JUSTICE  
HUTCHINS STATE JAIL

Incident Number: I-10671-07-11

TO: Emergency Action Center

THRU: Robert Eason  
Region II Director

SUBJECT: Natural Attended Death

PERSONS  
INVOLVED: Offender McCollum, Larry #1721640; W/M; DOB - 04/04/53; Age 58; NR custody; Serving a 12 month sentence for Forgery from McClellan County; Sentence Begin Date 06/21/11; TDCJ Received Date 07/15/11.

SUMMARY: On Thursday July 28, 2011 at approximately 2335 hours Offender Larry McCollum was pronounced deceased by the attending Doctor Charles Owens and Inter-Physician Rigoberto Ramirez at Parkland Hospital in Dallas, Texas. The preliminary cause of death is listed as Respiratory Failure/Neurological Failure. The offender's next of kin, Mrs. Sharon McCollum (wife) was contacted and advised of the offender's death.

On Friday July 22, 2011 at approximately 0300 hours, offender McCollum was transported via ambulance to Parkland Hospital after being found in his assigned bunk (C7-46) with what appeared to be a seizure. Staff were able to take the offender to the medical department where a triage nurse at the Crain Unit was contacted and recommended the offender be sent to the hospital via 911 due to no medical notes in the computer for offender McCollum. Offender McCollum had been received on the Hutchins State Jail on July 15, 2011, from McClellan County. The offender was assessed at Parkland Hospital and placed on a ventilator. The offender's condition at that time was listed as critical and he was assigned to the 9<sup>th</sup> floor Intensive Care unit.

On July 22, 2011 the next of Kin was contacted and advised by Warden Polk of offender McCollum's condition and to obtain his medical history. Offender McCollum's immediate family was allowed visitation. Offender McCollum received many test to determine if he had any neurological response/s and to determine the original cause of his seizure. Offender McCollum remained on a ventilator until Thursday July 28, 2011, as Mrs. Sharon McCollum and family members were provided information concerning offender McCollum's care and health status by the attending Physician at Parkland Hospital. The family at that time chose to remove the offender from the ventilator and cease all life saving

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treatment. At approximately 5:25 pm offender McCollum was taken off the ventilator and he continued to breath on his own until approximately 11:35pm. Offender McCollum was pronounced deceased. Michael Keck from the Office of the Inspector General and Major Terry May (Duty Warden) were both notified of the offenders passing and reported to the hospital. Mr. Keck declared the area and crime scene and documented the area and cleared the crime scene at approximately 0120 hours on July 29, 2011. Captain Kyron Session relieved the security officers and remained with the offenders remains until taken to the hospital morgue. The family of the offender did wish to claim the body and an autopsy will be performed. Carnes Funeral Home in Texas City, Texas was contacted and notified of the death and the family's intent to claim the offender's remains. Senior Warden Jeff Pringle was in contact was notified of the offenders passing at 2345 hours. The Unit Chaplain, Mr. Gene Bartholf, reported to the hospital and spoke with the family. Mr. Robert Eason, the Region II Director, was notified of this incident at 1201am. on July 29, 2011. Ms. Kyla Crumbly of the Emergency Action Center was notified of this incident at 0101 hours on July 29, 2011. She issued the incident number I-10671-07-11 to this incident.

**ACTION/  
INACTION:**

Employee action was not a contributing factor in this incident.

**ATTACHMENTS:**

EAC Report  
 Incident Report  
 Travel Card  
 Chaplain's Email/Worksheet  
 Transport Orders  
 TDCJ Autopsy Order  
 Personal Property  
 Letter to Family  
 Person Property Letter  
 Employee Statements  
 Photos of offender McCollum remains  
 HMS 18  
 Medical Examiner Cause of Death  
 Report

**ADMINISTRATIVE  
REVIEW:**

In accordance with Administrative Directive 02.15 (rev.11), the following was addressed:

I. Wardens Comments:

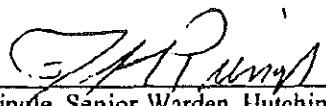
Staff actions taken were consistent with Texas Department of Criminal Justice policies and procedures. Offender McCollum was received from McLennan County on July 15, 2011, and he had no property and he was properly housed according to his custody level at the time of incident. Offender McCollum was found unresponsive by security staff during count and immediately begin proper care. The initial investigation indicates offender McCollum was having a seizure and a interview a of offenders assigned to C7 dorm indicate that offender McCollum seemed to be withdrawn and would not eat or get off his bunk. There are no signs of foul play. All security staff handled the issue with dignity and respect for the family and Administration also met with family members to

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show care and passion for the situation. The unit chaplain met and gave spiritual guidance to staff and family during this time. Offender McCollum was seen by medical as per policy and initial medical intake testing had been completed with the result returning and offender being placed on medicine for blood pressure. There was no lesser action that could have been taken to prevent the seizure and the offender's death.

  
\_\_\_\_\_  
Jeff Pringle, Senior Warden, Hutchins Unit

8-5-11

\_\_\_\_\_  
Date

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STEPHEN McCOLLUM, and SANDRA §  
McCOLLUM, individually, and STEPHANIE §  
KINGREY, individually and as independent §  
administrator of the Estate of LARRY GENE §  
McCOLLUM, §  
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Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 172**

**HUTCHINS STATE JAIL**  
**Time Lines**

I-10671-07-11

The following time line information is provided as a guideline for this incident. The times are approximate and not exact :

July 22, 2011

**2:10 am** - Officer Richard Clark is notified of an offender having a seizure. Officer Clark notifies Officer Adetoun Jolayemi that he needs assistance due to an offender having a seizure in C7-46 bunk. Officer Jolayemi calls for assistance via hand held radio. Lieutenant Sandrea Sanders and Sergeant Karen Tate are the supervisors on duty. Lieutenant Sanders is taking the unit count and sends Sergeant Tate to the scene to handle the incident.

**2:15 am** - Sergeant Tate responds to the incident and finds Offender McCollum having what appears to be a seizure in C7-46 bunk. Once the offender stops shaking, the staff attempts to awaken him and cool him down because the offender is sweating. Sergeant Tate calls for additional staff to respond in order to remove the offender from the top bunk he is lying on.

**2:40 am** - Lieutenant Sanders responds to the area and completes an assessment and determines that it is necessary to contact the on-call medical staff at the Crain Unit.

**2:50 am** - Lieutenant Sanders relays information that she received from Sergeant Tate, who was the first responding supervisor to the on-call Medical Staff at the Crain Unit. The nurse does a medical review and assessment with Lieutenant Sanders over the phone and no medical history of seizures were found in the offender's records. The on-call nurse then advises Lieutenant Sanders to proceed with calling 911.

**3:04 am** - 911 operator was called and at 3:05am, EMT was dispatched to the Hutchins Unit.

**3:12 am** - The EMT staff arrives at the Hutchin's Unit and along with the Hutchin's Unit security staff, Offender McCollum is taken off the top bunk and placed onto a gurney in C7 dorm. EMT staff begins to diagnose Offender McCollum.

**3:36 am** - Sergeant Tate escorts the EMT staff off the unit for Offender McCollum to be transported to Parkland Hospital.

**3:54 am** - The ambulance arrives at Parkland Hospital in Dallas, Texas with Offender McCollum.

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EAC-08

*07:00 am* – Assistant Warden Balden Polk began to locate a next of kin for a serious incident notification. Warden Polk does make contact with Offender McCollum's wife, Sandra McCollum and set up visitation with the family.

Offender McCollum's family was allowed daily visitation.

**July 28, 2011: Date of Death**

*05:25 pm* – Offender McCollum's family agree to have him removed from life support after consultation with Doctor Charles Owens.

*11:35 pm* – Doctor Charles Owens pronounced Offender McCollum Deceased  
**Death Notification:**

**Family Present at Hospital**

*11:35 pm*. Terry May, Major

*11:35 pm* - Mr. Michael Keck, Office of the Inspector General

*11:45 pm*. - Jeff Pringle, Senior Warden

*12:01 am* - Robert Eason, Region II Director,

*12:10 am* - Carne's Funeral Home, Texas City, Texas

*01:01 am* - Ms. Kyla Crumbley of the Emergency Action Center

*08:00 am* – Classification and Records

  
\_\_\_\_\_  
Jeff Pringle, Senior Warden, Hutchins Unit

8-5-11  
Date

---

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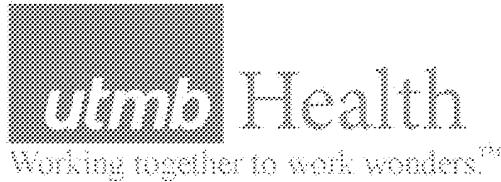
Plaintiffs' MSJ Appx. 2965  
**EAC-09**

UNITED STATES DISTRICT COURT  
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McCOLLUM, individually, and STEPHANIE §  
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§  
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DEFENDANTS §

Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 173**



Correctional Managed Care

Region 1

Hilltop Regional Building

1500 State School Road

Gatesville, TX 76598

Phone: (254) 865-8901 ext. 6270

Fax: (254) 248-0545

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Kirk Abbott, RN, BSN, CCHP, Regional Director of Nursing

September 13, 2011

To: Gary Eubank, MSN, RN  
Chief Nursing Officer

Thru: Kirk Abbott, RN, BSN, CCHP  
Regional Director of Nursing, Region 1

From: Yonette Gilford, RN  
Hutchins Facility Nurse Manager

Re: **Administrative Review: Larry McCollum TDCJ ID#1721640**

Offender medical records concerning the incident of offender Larry McCollum ID#1721640 which occurred on July 22, 2011 has been reviewed.

### **History**

Patient is a 58 year old that arrived to Hutchins State Jail on 7/15/11 with the medical condition of HTN noted on his Texas Uniform Health Status Update. Upon his intake interview he relayed to the interviewer that he had a previous back injury, dental cavities, gum disease, HTN, arthritis, and diabetes. Upon intake he was only prescribed HTN medication.

On 7/15/11 the patient was seen for medical and CID intake screening, but his vitals were not obtained as he did not have any current complaints. New medication orders were received from the provider to discontinue his Clonidine and start HCTZ.

### **Reports of Events**

7/22/11 – 0316 – Security notified the Crain Facility afterhours HUB that the patient was having a seizure that had lasted over 5 minutes. As per the Telephone Triage Seizure protocol the patient was sent out via 911 to the local ER for evaluation. Upon arrival to the ER his initial blood pressure was 200/150.

#### **❖ FINDINGS**

- As a general rule vital signs are not obtained at the time of intake unless the patient has a specific complaint that would warrant obtaining.
- This patient was not seen nor was there sufficient evidence to suggest he was scheduled for his medical intake exam within the 7 day time frame.

### **Recommendations**

1. The Nurse Manager and Practice Manager will review and revise, as appropriate, the medical intake exam appointment scheduling process to ensure all appointments are scheduled within the 7 day time frame.
2. All staff will be inserviced on the outcome of this review and the importance of adhering to the appointment scheduling guidelines for all types of appointments.

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Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 174**

Correctional Managed Care  
DEATH SUMMARY

Patient Name: MCCOLLUM, LARRY G TDCJ#: 1721640 Date: 11/23/2011 12:02 Facility: HUTCHINS (HJ)

Admission Date: 11/23/2011 10:40AM

Date of Birth: 04/04/1953 Age: 58 Race: W Sex: male

Most recent vitals from 11/13/2003: BP: 112 / 87 (Standing) Wt. 192 Lbs. Height Pulse: 107 (Standing)

Resp.: 18 / min Temp: 97 (Oral)

Allergies: NO KNOWN ALLERGIES

Medications: HCTZ 25 MG/D PO

Date of Incarceration: 7/15/11

Date and Hour of Death: 7/28/11 AT 11:35 PM

Place of Death: PARKLAND MEMORIAL HOSPITAL,DALLAS TEXAS

Reviewer: A.D.BABBILI,PA-C FOR DR.ORIG MD

Brief Summary of Medical History and Physical Examination: THIS 58 YOWM,ARRIVED FROM MCCLENNAN COUNTY JAIL ON 7/15/11,WITH A HX.OF HYPERTENSION AND WAS PRESCRIBED TO TAKE HCTZ 25 MG/DAILY.

ON 7/22/11 HE PRESENTED TO THE NIGHT DMS TRIAGE NURSE WITH A SEIZURE ACTIVITY LASTED APPROXIMATELY 5 MINUTES IN TOP BUNK BED SECURED AND STABILIZED BY SECURITY STAFF FROM FALLING OFF. HE WAS IMMEDIATELY TRANSFERRED TO PARKALND HOSPITAL EMERGENCY ROOM EVALUATION AND TREATMENT.

ON 7/20/11-INITIAL ROUTINE HYPERTENSION CHRONIC CLINIC LABS REVEALED WBC=13.1,BLOOD SUGAR =130(ASSUMABLY POST PRANDIAL BREAKFAST)  
BUN=31,CREATENINE=1.67,GFR=44,SODIUM=133,CHLORIDE=91,CO2=19,CHOLESTEROL=157,LCL=102,TRIGLY CERIDE=195,HGB A1C=6.2,TSH=2.86.URINALYSIS REVEALED A SP.GRAVITY OF 1.028,WBC=>30 WITH WBC ESTERASE=1+,PROTEIN=1+,KETONES= TRACE

Outpatient Course:HCTZ 25MG/D PO FOR HTN

Emergency Room Visit(s): 7/22/11

Inpatient Course(s): 7/22/11 TO 7/28/11 TREATMENT DETAILS ARE NOT AVAILABLE

Current Medications:

Terminal Event:HYPERTHERMIA WITH MULTIPLE ORGAN FAILURE

1 of 2

Attachment A

Policy A-11

**Autopsy Findings:** 1.HYPERTHERMIA-COMPLICATED BY HYPOXIC ISCHEMIC ENCEPHALOPATHY,DIC,SHOCK,MULTIPLE ORGAN FAILURE.  
2.HX.OF HYPERTENSION,  
3.MORBID OBESITY,  
4.CONTUSION OF SCALP AND FACE,  
5.SUBGALEAL HEMORRHAGE.

Electronically Signed by BABBILI, ANANDA D. PA-C on 11/23/2011.

Electronically Signed by ORIG, TITO M. M.D. on 11/23/2011.

##And No Others##

**2 of 2**  
**Attachment A**  
**Policy A-11**

UNITED STATES DISTRICT COURT  
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HOUSTON DIVISION

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DEFENDANTS §

Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 175**

Incident: I-10671-07-11/ Offender Death / Hutchins Unit

Regional Director/Assistant Director's Comments:

On July 22, 2011, at approximately 0210 hours, Offender McCollum, Larry #1721640, was found by COV Richard Clark during count in his assigned bunk of C7-46 with what appeared to be a seizure. COV Clark notified COIV Adeotun Jolayemi, who initiated Incident Command System; requesting a supervisor, additional staff and video camera. Offender McCollum was taken to the unit medical department where a triage nurse at the Crain Unit was contacted and advised to transport the offender to Parkland Hospital via ambulance. Offender McCollum was a newly received offender and had no electronic medical records. At approximately 0300, Offender McCollum was transported via 911 ambulance to Parkland Hospital Intensive Care Unit and placed on a ventilator. At the time of his arrival, Offender McCollum's condition was listed as critical. Hutchins Unit Assistant Warden Balden Polk notified Offender McCollum's next of kin, his wife, Sandra McCollum, of the offender's condition and requested information about the offender's medical history.

On July 28, 2011, Sandra McCollum, and other members from Offender McCollum's family, decided to remove him from the ventilator and cease all life saving measures. At approximately 1725, the ventilator was removed from Offender McCollum. He continued to breathe on his own until approximately 2345 hours, when he was pronounced dead by the attending physician, Dr. Charles Owens. Sandra McCollum, the offender's next of kin, was present at the time of his death. Ms. McCollum stated the family would claim the body and did not object to an autopsy.

Office of the Inspector General Investigator Michael Keck opened an investigation into this incident and assigned case number 2011.03006.

In order to prevent heat related illness, the Hutchins Unit has taken the following measures: housing temperatures are monitored by each shift, hot water turned off in the showers and offenders are allowed unlimited access to the showers, offenders are allowed to wear commissary shorts and t-shirts in the dayrooms, offenders are screened for lower bunk restriction based on height/weight, wellness checks are conducted every thirty minutes for offenders on the heat extreme list, ice water is provided in living areas, staff have received training on heat related illness, and signs are posted in offender living areas to assist offenders in identifying signs of heat related illness.

Staff action/inaction was not a factor in this incident. All staff actions were appropriate and in accordance with agency policies and guidelines.

The preliminary cause of death in this incident was listed as "Respiratory Failure/Neurological Failure" pending the results from an autopsy. In that the cause of death was natural and attended by a physician, there was no requirement for an additional report to Emergency Action Center. However, on October 26, 2011, Dr. Keith Pinckard, of the Dallas County Medical Examiner's Office, listed the probable cause of death as "hyperthermia". The change in cause of death to "heat related" should have prompted an administrative review. However, an administrative review was never completed. The Region II Director's Office has implemented a process to review all offender deaths to ensure that all appropriate documentation is completed.

Signature: Robert Eason Date: 07-25-2012  
 Robert Eason  
 Region II Director

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA §  
McCOLLUM, individually, and STEPHANIE §  
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Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 176**

## TDCJ MORTALITY COMMITTEE WORKSHEET

DEMOGRAPHIC INFORMATION				
Offender Name: McCollum, Larry Gene	TDCJ#: 1721640	Date of Incarceration: 7/15/2011		
DOB: 4/4/1953	DOD: 7/28/2011	Time of Death: 11:35 PM	Autopsy?: YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	
Age: 58	Race: White	Sex: Male	Unit of Assignment: Hutchins	
MEDICAL SUMMARY				
Was the death Expected?			YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
Cause of death:	Natural <input type="checkbox"/>	Accident <input checked="" type="checkbox"/>	Homicide <input type="checkbox"/>	Suicide <input type="checkbox"/>
Chronic Diagnoses: hypertension, morbid obesity, history of alcohol abuse				
Chronic Medications: HCTZ 25 mg po QD				
<p><b>Other Relevant Medical History:</b> With this offender's initial history, he self reported hypertension, diabetes, depression, mental illness and arthritis. He was on clonidine for his HTN, but on July 15, 2011, he was changed from clonidine 0.1 mg po PRN increased BP to HCTZ 25 mg po once a day. No blood pressure was recorded upon intake nor at the time of the blood pressure medication change. He never had a physical exam performed while at Hutchins.</p> <p>On July 22, 2011, security called medical due to the offender was on the top bunk and having a seizure. The seizure lasted about 5 minutes. Security could not get him off the top bunk so they were standing up against the top bunk to keep him from falling. They called 911. According to the cell mate, he was a diabetic but the documentation stated that there was no history of this seen in the chart. There were no medical personnel on the unit, so 911 was called and he was sent to Parkland Hospital. The documentation regarding this event shows that the most recent vitals on the record were from 11/13/2003 and his BP at that time was 112/87 standing.</p> <p>The records from Parkland Hospital reveal that upon arrival, he was unresponsive, had agonal breathing and was intubated. His initial blood pressure was 200/150 and his temperature was 109.4 F. He subsequently decompensated and became hypotensive and required dopamine and levophed for BP support. His in house EKG showed ST segment depression in V2-V6. Before he went to the cath lab, he had a CT of the head that showed diffuse ischemia. The interventional cardiology team was activated and made plans to take him to the cath lab; however, they were concerned regarding the unclear etiology of his presentation with hyperthermia and CNS involvement. Interventional cardiology felt that the EKG changes were more suggestive of diffuse hypoperfusion rather than acute coronary syndrome, so he was not taken to the cath lab. He was placed in the MICU and cooled internally and externally. He developed multiple system organ failure. He had acute renal failure, shock liver, severe rhabdomyolysis and severe DIC. He was treated with antibiotics empirically, dantrolene for possible neuroleptic syndrome and steroids. He was eventually able to be weaned off his pressors and his body temperature returned to normal. His legs were mottled and his feet had no palpable pulses but these improved in time. He had a TEE that showed no vegetation but he did have reduced RV function. A repeat CT of the head showed bilateral low densities in the basal ganglia and internal capsules concerning for hypoxic-ischemic injury. The grim prognosis was discussed with family who made him a DNR.</p>				
<p><b>Summary of Events Leading Up to Death:</b> He had an MRI/MRA on July 28, 2011 that showed changes consistent with diffuse anoxic brain injury and also acute hydrocephalus. Neurosurgery was consulted to consider a VP shunt. The family was counseled on his grave prognosis and options were discussed. The wife, son and daughters decided to offer comfort and care and not pursue further aggressive treatment. The family stated that their decision would be in line with his previously expressed wishes.</p> <p><b>Summary of Events the Day of Death:</b> On the evening of July 28, 2011, he was extubated and he died a few hours later at 11:35 PM.</p>				

Offender Name: McCollum, Larry Gene	TDCJ#: 1721640
<b>DEATH AND AUTOPSY DETAILS</b>	
<b>AUTOPSY FINDINGS</b> (if performed): contusions of the scalp and face, cardiac hypertrophy, subgaleal hemorrhage, brain swelling with transtentorial herniation, cerebellar tonsillar herniation and acute necrosis, and hypoxic-ischemic encephalopathy	
If the Autopsy was performed, then state the documented Cause of Death: hyperthermia and he may have been further predisposed to hyperthermia due to morbid obesity and treatment with a diuretic (HCTZ) for hypertension	
<b>DEATH CAUSE</b> List the disease and condition contributing to death. Enter UNDERLYING CAUSE (disease or injury that initiated events resulting in Death) LAST	
<i>(final disease or condition resulting in death)</i>	
A. <b>hyperthermia</b>	
Sequentially list conditions, if any, leading to immediate cause. Enter UNDERLYING CAUSE (disease or injury that initiated events resulting in Death) LAST	
B. <b>hypertension</b>	
C. <b>morbid obesity</b>	
D.	
E.	
<b>Committee Consensus Cause of Death:</b> hyperthermia	

Offender Name: McCollum, Larry Gene		TDCJ#: 1721640	
DISCUSSION OF CARE ISSUES			
1. Does the Reviewer recommend this case be referred to Peer Review?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If yes, check which of the following:		Physician <input type="checkbox"/>	Dental <input type="checkbox"/>
		Nurse (RN / LVN) <input type="checkbox"/>	
Allied Mental Health Professionals <input type="checkbox"/>		Other <input type="checkbox"/> If other, describe:	
2. Should this case be referred to <u>Utilization Review</u> for Morbidity Case Management Review?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
3. Should this case be referred to <u>System Leadership Council</u> for review of systemic issues that affect health care?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
4. Should this case be referred for a review of <u>security</u> or <u>facility</u> issues that affected health care?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
5. List reason(s) for all above referral(s):			
6. Was the death summary completed in the medical record?		YES <input checked="" type="checkbox"/>	NO <input type="checkbox"/>
7a. If autopsy was authorized, was the final autopsy report completed by the time of review?		N/A <input type="checkbox"/>	YES <input checked="" type="checkbox"/>
7b. If no, what is the presumed cause of death and list any unresolved concerns?			
8. Was the patient suitable to be considered for Medically Recommended Intensive Supervision (MRIS)?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
9. Were there any inconsistencies between clinical history and autopsy? Explain:		N/A <input type="checkbox"/>	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>

Reviewer's Name:

*Carol Lynn Logsdon*Date: December 7<sup>th</sup>, 2011

.M Worksheet

Page 3 of 3

This information is privileged and confidential and is prepared in accordance with Vernon's Annotated Civil Statutes, Health & Safety Code, Chapters 161.032 & 161.033.

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA §  
McCOLLUM, individually, and STEPHANIE §  
KINGREY, individually and as independent §  
administrator of the Estate of LARRY GENE §  
McCOLLUM, §  
PLAINTIFFS §  
§  
v. § CIVIL ACTION NO.  
§ 4:14-cv-3253  
§ JURY DEMAND  
BRAD LIVINGSTON, JEFF PRINGLE, §  
RICHARD CLARK, KAREN TATE, §  
SANDREA SANDERS, ROBERT EASON, the §  
UNIVERSITY OF TEXAS MEDICAL §  
BRANCH and the TEXAS DEPARTMENT OF §  
CRIMINAL JUSTICE. §  
DEFENDANTS §

Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 177**

CSSIRO42

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:56:35PM

## COMPLETE INCIDENT REPORT

PAGE: 0001

UPDATE USERID: RST0054 DATE: 06/25/2009 TIME: 13:59:40

INCIDENT #: 000355937 TDCJID: [REDACTED] SID: [REDACTED] INCIDENT DATE: 06/24/2009

REPORT: N (Y/N) NAME: [REDACTED] AGE: [REDACTED] TYPE: TF CUSTDY: G2

SEX: M UNIT: HJ DIV: 2 REGION: 2 LS: 0011

URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----

01 12 INCIDENT TIME : 12:00-12:59P

02 16 DEPT OF ASGNMT: OPERATIONS/MAINTENANCE

03 50 SPEC. LOCATION: TURNOUT AREA

04 39 ACTIVITY : STANDING

05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)

06 16 TYPE OF INJURY: HEAT EXHAUSTION/HEAT CRAMPS/HEAT STROKE

07 15 BODY PART INJ : INTERNAL

08 01 SEV. OF INJURY: MINOR: ANY ONE-TIME TREATMENT, OR FOLLOW UP VISIT

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 28 UNSAFE COND : OVEREXPOSURE TO WEATHER CONDITIONS (HEAT/COLD/WIND ETC.  
11 27 UNSAFE ACT : NO UNSAFE ACT/PRACTICE ON THE PART OF EMPLOYEE  
J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. N -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: OVER EXPOSURE TO HEAT CONDITIONS IN WORK AREA

RCMMD CA: 01 CA: 01 CA DATE: 06/25/2009

EAC#: I \_\_\_\_\_ UOF#: M \_\_\_\_\_

NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 06/25/2009 TIME: 13:59:40)  
ON 06/25/2009 AND AT APPROXIMATELY 12:00 PM OFFENDER [REDACTED] DCJ  
# [REDACTED] BEGIN TO SHOW SIGNS OF HEAT STRESS. OFFENDER [REDACTED] HAD  
BEEN WORKING ON A COMPRESSOR UNIT EARLIER IN THE DAY. AT APPROXIMATELY  
11:00 AM MR J. BAKER'S MAINTENANCE CREW TURNED IN FOR THEIR LUNCH BREAK.

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 ~HLP PF3 ~SI04 PF5 ~INFOPAC PRT PF7 ~BKWD PF8 ~FRWD PF9 ~SCRN PRNT  
PF12 ~SI00

## COMPLETE INCIDENT REPORT

PAGE: 0003

AT APPROXIMATELY 11:35 AM OFFENDER [REDACTED] WAS STANDING AT THE TURN OUT GATE WHEN MR. J. BAKER WITNESSED OFFENDER [REDACTED] VOMIT. MR J. BAKER THEN TOOK HIM TO THE UNIT INFIRMARY. THE OUTSIDE HEAT AND HUMIDITY INDEX FROM THE BACK GATE READING WAS 101. THIS READING INDICATED A POSSIBLE HEAT EXHAUSTION STATE. OFFENDER [REDACTED] WAS TREATED FOR HEAT RELATED SYMPTOMS BY LVN LEWIS AT THE UNIT INFIRMARY. OFFENDER [REDACTED] REMAINED IN THE INFIRMARY FOR SEVERAL HOURS UNDER OBSERVATION.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOFAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0001

UPDATE USERID: RST0054 DATE: 07/05/2012 TIME: 15:50:43

INCIDENT #: 000405253 TDCJID: [REDACTED] SID: [REDACTED] INCIDENT DATE: 06/30/2012

REPORT: N (Y/N) NAME: [REDACTED] AGE: 052 TYPE: TF CUSTDY: G1

SEX: M UNIT: HJ DIV: 2 REGION: 2 LS: 0016

URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----

01 20 INCIDENT TIME : 20:00-20:59P

02 16 DEPT OF ASGNMT: OPERATIONS/MAINTENANCE

03 04 SPEC. LOCATION: BOILER ROOM

04 39 ACTIVITY : STANDING

05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)

06 16 TYPE OF INJURY: HEAT EXHAUSTION/HEAT CRAMPS/HEAT STROKE

07 15 BODY PART INJ : INTERNAL

08 02 SEV. OF INJURY: SERIOUS: REQUIRES TREATMENT (I.E., SUTURES, FRACTURES)

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT

PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 28 UNSAFE COND : OVEREXPOSURE TO WEATHER CONDITIONS (HEAT/COLD/WIND ETC.  
11 27 UNSAFE ACT : NO UNSAFE ACT/PRACTICE ON THE PART OF EMPLOYEE  
J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. N -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: DEHYDRATED FROM MEDS AND NOT DRINKING ENOUGH WATER

RCMMD CA: 01 CA: 01 CA DATE: 07/02/2012

EAC#: I 09196 07 12 UOF#: M \_\_\_\_\_

NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 07/05/2012 TIME: 15:50:43)  
ON 6/30/2012 AND AT APPROXIMATELY 8:00 PM OFFENDER [REDACTED]

TDCJ # [REDACTED] BWGAN TO FEEL ILL ENROUTE TO HIS JOB IN THE BOILER ROOM.  
HE NOTIFIED SGT LOUIS WILLIAMS THAT HE NEEDED TO BE SEEN BY MEDICAL.  
OFFENDER [REDACTED] WAS GIVEN A PASS AND WAS EXAMINED IN THE UNIT INFIRMARY.

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0003

LVN DEBORE RAY TOOK HIS TEMPERATURE WHICH READ 101.4. OFFENDER [REDACTED] WAS MONITORED AND IT WAS NOTED THAT OFFENDER [REDACTED] TEMPERATURE WAS CONTINUING TO RISE. LVN DEBORE INFORMED LT. K. BROWN THAT OFFENDER [REDACTED] NEEDED TO BE TRANSPORTED TO PARKLAND FOR FURTHER TREATMENT. OFFENDER [REDACTED] WAS TRANSPORTED TO PARKLAND HOSPITAL WHERE HE WAS GIVEN IV FLUIDS. LAB WORK WAS COMPLETED AND OFFENDER [REDACTED] WAS RETURNED TO THE UNIT.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

CSSIR042

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:57:21PM

## COMPLETE INCIDENT REPORT

PAGE: 0001

UPDATE USERID: DHE4166 DATE: 09/30/2009 TIME: 09:06:42

INCIDENT #: 000356186 SS#: [REDACTED] INCIDENT DATE: 06/22/2009

REPORT: Y (Y/N) NAME: [REDACTED] AGE: [REDACTED]

SEX: F DEPT: HJ DIV: 1 REGION: 2 LS: 0054 S-CARD: P2 LOST TIME(Y/N):N

BALANCES: VAC: 0069 CMP: 0001 SICK: 0000 OT: 0012 HOL: 0015 HRS.LOST: 0000

URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----

01 09 INCIDENT TIME : 9:00-9:59A

02 18 DEPT OF ASGNMT: SECURITY

03 22 SPEC. LOCATION: GROUNDS/YARD-INSIDE FENCE

04 39 ACTIVITY : STANDING

05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)

06 16 TYPE OF INJURY: HEAT EXHAUSTION/HEAT STROKE/HEAT CRAMPS

07 15 BODY PART INJ : INTERNAL

08 01 SEV. OF INJURY: MINOR: ANY ONE-TIME TREATMENT, OR FOLLOW UP VISIT

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT

PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 13 UNSAFE COND : NO UNSAFE CONDITIONS  
11 27 UNSAFE ACT : NO UNSAFE ACT/PRACTICE ON THE PART OF EMPLOYEE  
J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. N -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: CLAIMS OVERHEATED WHILE ATTENDING CENTER GATE

RCMMD CA: 05 CA: 05 CA DATE: 06/30/2009  
EAC#: I \_\_\_\_\_ UOF#: M \_\_\_\_\_ CONTESTED (Y/N): Y

----- RISK MANAGEMENT DATA ENTRY -----

TWCC1: 06/24/2009 WORKERS COMPENSATION #: WC2693117 DENIED (Y/N): N

-----  
NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 06/30/2009 TIME: 14:48:10)  
ON 06/22/2009 AND AT APPROXIMATELY 9:00 AM CO IV [REDACTED]

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

CSSIR042

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:57:27PM

COMPLETE INCIDENT REPORT

PAGE: 0003

SS # [REDACTED] WAS POSTED AT THE CENTER GATE WHEN SHE STATED SHE BEGIN TO FEEL ILL. THE TEMPERATURE AT THE TIME WAS 84 DEGREES WITH 56 % HUMIDITY. THE HEAT AND HUMIDITY INDEX WAS LESS THAN 88 AT 9:00 AM. NO INDICATION OF INJURY OR NOTICE TO RISK MANAGEMENT OFFICE UNTIL 06/30/2009.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

PAGE: 0001

UPDATE USERID: RST0054 DATE: 07/03/2009 TIME: 15:00:32  
INCIDENT #: 000356362 SS#: [REDACTED] INCIDENT DATE: 07/02/2009  
REPORT: N (Y/N) NAME: [REDACTED] AGE: [REDACTED]  
SEX: F DEPT: HJ DIV: 1 REGION: 2 LS: 0029 S-CARD: LOST TIME(Y/N):N  
BALANCES: VAC: 0061 CMP: 0014 SICK: 0000 OT: 0000 HOL: 0082 HRS.LOST: 0000  
URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----

01 09 INCIDENT TIME : 9:00-9:59A  
02 18 DEPT OF ASGNMT: SECURITY  
03 36 SPEC. LOCATION: PICKET TOWER/CENTRAL CONTROL/LINE CONTROL  
04 38 ACTIVITY : SITTING  
05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)  
06 16 TYPE OF INJURY: HEAT EXHAUSTION/HEAT STROKE/HEAT CRAMPS  
07 15 BODY PART INJ : INTERNAL  
08 01 SEV. OF INJURY: MINOR: ANY ONE-TIME TREATMENT, OR FOLLOW UP VISIT

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOFAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

CSSIR042

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:57:44PM

## COMPLETE INCIDENT REPORT

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 13 UNSAFE COND : NO UNSAFE CONDITIONS  
11 27 UNSAFE ACT : NO UNSAFE ACT/PRACTICE ON THE PART OF EMPLOYEE  
J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. N -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: SUFFERED FROM HEAT RELATED ILLNESS IN C-1 CONTROL

RCMMD CA: 06 CA: 06 CA DATE: 07/03/2009

EAC#: I \_\_\_\_\_ UOF#: M \_\_\_\_\_ CONTESTED (Y/N): N

----- RISK MANAGEMENT DATA ENTRY -----

TWCC1: MM/DD/CCYY WORKERS COMPENSATION #: \_\_\_\_\_ DENIED (Y/N): N

NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 07/03/2009 TIME: 15:00:32)  
ON 07/02/2009 AND AT APPROXIMATELY 9:00 AM CO III [REDACTED] SS #

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

CSSIR042

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:57:47PM

## COMPLETE INCIDENT REPORT

PAGE: 0003

[REDACTED] WAS ASSIGNED TO C-1 CONTROL PICKET. THE AIR CONDITIONING WAS NOT WORKING. OFFICER [REDACTED] BEGAN TO FEEL ILL AND NOTIFIED HER SUPERVISOR. SGT MARK HAD OFFICER [REDACTED] BELIEVED AND TAKEN TO THE UNIT INFIRMARY.

LVN B. ALEXANDER EVALUATED OFFICER [REDACTED] AND FOUND THAT HER SKIN WAS HOT AND DRY AND SHE COMPLAINED OF BEING WEAK. OFFICER [REDACTED] S TEMPERATURE WAS 98 DEGEES WITH BLOOD PRESSURE AT 136 OVER 77. OFFICER [REDACTED] WAS ENCOURAGED TO DRINK FLUIDS AND TO SEE HER PERSONEL PROVIDER AT THE FIRST OPPURTUNITY. TREATMENT BEYOUND FIRST AID WAS NOT REQUIRED. THE TEMPERATURE INSIDE THE CONTROL PICKET WAS 90 DEG. AT 9:00 AM. TWO FANS WERE PRESENT AND A COOLER OF ASSORTED BEVERAGES INSIDE THE CONTROL PICKET. THE TEMPERATURE OUTSIDE THE BUILDING WAS 84 DEG. WITH 58% HUMIDITY. THE HEAT INDEX WAS APPROXIMATELY 88.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

CSSIR042

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:57:57PM

## COMPLETE INCIDENT REPORT

PAGE: 0001

UPDATE USERID: RDA5325 DATE: 09/30/2009 TIME: 12:02:10

INCIDENT #: 000356951 SS#: [REDACTED] INCIDENT DATE: 07/15/2009

REPORT: Y (Y/N) NAME: [REDACTED] AGE: [REDACTED]

SEX: M DEPT: HJ DIV: 1 REGION: 2 LS: 0034 S-CARD: P5 LOST TIME(Y/N):N

BALANCES: VAC: 0081 CMP: 0039 SICK: 0071 OT: 0010 HOL: 0123 HRS.LOST: 0000

URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----

01 19 INCIDENT TIME : 19:00-19:59P

02 18 DEPT OF ASGNMT: SECURITY

03 35 SPEC. LOCATION: PARKING LOT

04 38 ACTIVITY : SITTING

05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)

06 16 TYPE OF INJURY: HEAT EXHAUSTION/HEAT STROKE/HEAT CRAMPS

07 15 BODY PART INJ : INTERNAL

08 01 SEV. OF INJURY: MINOR: ANY ONE-TIME TREATMENT, OR FOLLOW UP VISIT

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT

PF12 -SI00

CSSIR042

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:58:01PM

## COMPLETE INCIDENT REPORT

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 13 UNSAFE COND : NO UNSAFE CONDITIONS  
11 27 UNSAFE ACT : NO UNSAFE ACT/PRACTICE ON THE PART OF EMPLOYEE  
J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. N -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: BECAME SICK WHILE SITTING IN SMOKEING AREA

RCMMD CA: 01 CA: 01 CA DATE: 07/17/2009

EAC#: I \_\_\_\_\_ UOF#: M \_\_\_\_\_ CONTESTED (Y/N): Y

----- RISK MANAGEMENT DATA ENTRY -----

TWCC1: 07/17/2009 WORKERS COMPENSATION #: WC2698991 DENIED (Y/N): Y

-----  
NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 07/17/2009 TIME: 09:29:42)  
ON 07/15/2009 AND AT APPROXIMATELY 7:45 PM CO IV [REDACTED] SS #

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0003

[REDACTED] BECAME ILL. THE INCIDENT OCCURRED WHILE SITTING AT THE SMOKEING AREA IN THE PARKING LOT. THE TEMPERATURE AT THE TIME WAS 98 DEG F. LT T. TOWERY WAS SITTING WITH CO IV [REDACTED] AT THE TIME AND HE BECAME UNCONCIOUS AND LOST CONTROL OF HIS BOWELS. CO IV [REDACTED] REGAINED CONCIOUSNESS AND MEDICAL WAS CALLED. 911 WAS CALLED AND CO IV [REDACTED] WAS TAKEN TO THE EMERGENCY ROOM. THE DIAGNOSIS FROM EMERGENCY ROOM PERSONEL WAS THAT THERE WAS NO KNOWN CAUSE FOR CO IV [REDACTED] ILLNESS.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

CSSIR042

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:58:19PM

## COMPLETE INCIDENT REPORT

PAGE: 0001

UPDATE USERID: RST0054 DATE: 02/09/2010 TIME: 14:54:42

INCIDENT #: 000365799 SS#: [REDACTED] INCIDENT DATE: 02/05/2010

REPORT: N (Y/N) NAME: [REDACTED] AGE: [REDACTED]

SEX: F DEPT: HJ DIV: 1 REGION: 2 LS: 0090 S-CARD: P4 LOST TIME(Y/N):N

BALANCES: VAC: 0047 CMP: 0003 SICK: 0005 OT: 0000 HOL: 0073 HRS.LOST: 0000

URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----

01 16 INCIDENT TIME : 16:00-16:59P

02 18 DEPT OF ASGNMT: SECURITY

03 36 SPEC. LOCATION: PICKET TOWER/CENTRAL CONTROL/LINE CONTROL

04 39 ACTIVITY : STANDING

05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)

06 10 TYPE OF INJURY: DIZZINESS, FAINTNESS

07 15 BODY PART INJ : INTERNAL

08 01 SEV. OF INJURY: MINOR: ANY ONE-TIME TREATMENT, OR FOLLOW UP VISIT

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 09 UNSAFE COND : INADEQUATE VENTILATION  
11 27 UNSAFE ACT : NO UNSAFE ACT/PRACTICE ON THE PART OF EMPLOYEE  
J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. N -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: OVERHEATED FROM EXCESSIVE HEAT IN G-CONTROL

RCMMD CA: 06 CA: 06 CA DATE: 02/09/2010

EAC#: I \_\_\_\_\_ UOF#: M \_\_\_\_\_ CONTESTED (Y/N): N

----- RISK MANAGEMENT DATA ENTRY -----

TWCC1: MM/DD/CCYY WORKERS COMPENSATION #: \_\_\_\_\_ DENIED (Y/N): N

-----  
NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 02/09/2010 TIME: 14:54:42)  
ON 02/05/2009 AND AT APPROXIMATELY 4:00 PM CO [REDACTED] SS #

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

COMPLETE INCIDENT REPORT

PAGE: 0003

[REDACTED] COMPLAINED OF SYMPTOMS RELATED TO BEING OVERHEATED. CO [REDACTED]

[REDACTED] COMPLAINED OF DIZZINESS DUE TO EXCESSIVE HEAT IN THE G. BUILDING  
CONTROL PICKET.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0001

UPDATE USERID: DHE4166 DATE: 02/25/2011 TIME: 12:33:47

INCIDENT #: 000375429 SS#: [REDACTED] INCIDENT DATE: 08/16/2010

REPORT: Y (Y/N) NAME: [REDACTED] AGE: [REDACTED]

SEX: M DEPT: HJ DIV: 1 REGION: 2 LS: 0196 S-CARD: P7 LOST TIME(Y/N):N

BALANCES: VAC: 0358 CMP: 0061 SICK: 0928 OT: 0240 HOL: 0115 HRS.LOST: 0000

URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----

01 15 INCIDENT TIME : 15:00-15:59P

02 18 DEPT OF ASGNMT: SECURITY

03 43 SPEC. LOCATION: SIDEWALK

04 42 ACTIVITY : WALKING

05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)

06 16 TYPE OF INJURY: HEAT EXHAUSTION/HEAT STROKE/HEAT CRAMPS

07 15 BODY PART INJ : INTERNAL

08 01 SEV. OF INJURY: MINOR: ANY ONE-TIME TREATMENT, OR FOLLOW UP VISIT

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT

PF12 -SI00

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 13 UNSAFE COND : NO UNSAFE CONDITIONS  
11 27 UNSAFE ACT : NO UNSAFE ACT/PRACTICE ON THE PART OF EMPLOYEE  
J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. N -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: OVER EXPOSURE TO EXTREME HEAT CONDITIONS

RCMMD CA: 01 CA: 01 CA DATE: 08/17/2010  
EAC#: I \_\_\_\_\_ UOF#: M \_\_\_\_\_ CONTESTED (Y/N): N

----- RISK MANAGEMENT DATA ENTRY -----

TWCC1: 08/19/2010 WORKERS COMPENSATION #: WC2787760 DENIED (Y/N): N

NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 08/17/2010 TIME: 12:11:01)  
ON 8/16/2010 AND AT APPROXIMATELY 3:00 PM CO V [REDACTED] SS #

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOFAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0003

[REDACTED] BEGAN TO FEEL DIZZY SHAKEY. CO V [REDACTED] HAD BEAGAN HIS SHIFT AT 1:30 PM. CO V [REDACTED] WALKED ACROSS THE MAIN WALKWAY TO G-BUILDING AND UPON ENTERING SAT DOWN ON THE FLOOR TO KEEP FROM FALLING. CO V [REDACTED] WAS MOVED TO THE UNIT INFIRMARY AND EXAMINED BY LVN V. MCKINNY. LVN MCKINNY NOTED THAT CO V [REDACTED] WAS SWEATING PROFUSELY AND FEELING DIZZY. TREATMENT INCLUDED REMOVING A LAYER OF CLOTHING, ADMINISTERING WATER, AND PLACEING A FAN ON PATIENT. CO V [REDACTED] APPEARED TO RECOVER AND WAS LATER DRIVEN HOME. THE TEMPERATURE WAS 100 DEGREES AT 3:00 PM WITH THE HUMMIDITY AT 42 %. THE HEAT AND HUMIDITY INDEX WAS 109.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOFAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

PAGE: 0001

UPDATE USERID: RST0054 DATE: 09/15/2011 TIME: 08:43:37  
INCIDENT #: 000393029 SS#: [REDACTED] INCIDENT DATE: 08/01/2011  
REPORT: N (Y/N) NAME: [REDACTED] AGE: [REDACTED]  
SEX: F DEPT: HJ DIV: 1 REGION: 2 LS: 0116 S-CARD: P4 LOST TIME(Y/N):N  
BALANCES: VAC: 0268 CMP: 0003 SICK: 0246 OT: 0003 HOL: 0022 HRS.LOST: 0000  
URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----  
01 19 INCIDENT TIME : 19:00-19:59P  
02 18 DEPT OF ASGNMT: SECURITY  
03 16 SPEC. LOCATION: DORMITORY/BOQ  
04 42 ACTIVITY : WALKING  
05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)  
06 16 TYPE OF INJURY: HEAT EXHAUSTION/HEAT STROKE/HEAT CRAMPS  
07 15 BODY PART INJ : INTERNAL  
08 02 SEV. OF INJURY: SERIOUS: REQUIRES TREATMENT (I.E., SUTURES, FRACTURES)

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

CSSIR042  
RDA5325/2189TEXAS DEPARTMENT OF CRIMINAL JUSTICE  
RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:58:58PM

## COMPLETE INCIDENT REPORT

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 28 UNSAFE COND : OVEREXPOSURE TO WEATHER CONDITIONS (HEAT/COLD/WIND ETC.  
11 27 UNSAFE ACT : NO UNSAFE ACT/PRACTICE ON THE PART OF EMPLOYEE  
J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. N -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: HEAT RELATED EXPOSER

RCMMD CA: 05 CA: 05 CA DATE: 09/15/2011

EAC#: I \_\_\_\_\_ UOF#: M \_\_\_\_\_ CONTESTED (Y/N): Y

----- RISK MANAGEMENT DATA ENTRY -----

TWCC1: MM/DD/CCYY WORKERS COMPENSATION #: \_\_\_\_\_ DENIED (Y/N): N

-----  
NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 09/15/2011 TIME: 08:43:37)  
ON 8/01/2011 AND AT APPROXIMATELY 7:00 PM CO [REDACTED] SS #

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0003

CO [REDACTED] WAS ASSIGNED TO A-1 BUILDING ROVER. CO [REDACTED] BEGAN TO SUFFER FROM NAUSEA, WEAKNESS, FATIGUE, HEADACHE, AND DIZZINESS. THE OUTSIDE TEMPERATURE AT THE HUTCHINS UNIT AT 6:30 PM WAS 107. CO [REDACTED] WAS TAKEN TO THE UNIT INFIRMARY. THE UTMB STAFF MADE THE DECISION TO 911 OFFICER [REDACTED] TO BAYLOR FAMILY MEDICAL CENTER FOR FURTHER EVALUATION AND AT THE REQUEST OF OFFICER [REDACTED] VITAL SIGNS TAKEN BY UTMB AND THE CITY OF HUTCHINS EMT GAVE NO INDICATION OF HEAT STRESS. OFFICER [REDACTED] WAS LATER RELEASED FROM BAYLOR EMERGENCY THE SAME EVENING. OFFICER [REDACTED] INITIALLY FILED THE WORKMANS COMPENSATION CLAIM AND THEN ADVISED HUMAN RESOURCES THAT SHE DID NOT WANT TO FILE. THE DIAGNOSIS FROM BAYLOR EMERGENCY ROOM WAS ILLNESS DUE TO DIABETES.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0001

UPDATE USERID: RST0054 DATE: 08/01/2012 TIME: 14:56:23

INCIDENT #: 000406715 SS#: [REDACTED] INCIDENT DATE: 07/28/2012

REPORT: N (Y/N) NAME: [REDACTED] AGE: [REDACTED]

SEX: F DEPT: HJ DIV: 1 REGION: 2 LS: 0070 S-CARD: LOST TIME(Y/N):N

BALANCES: VAC: 0009 CMP: 0000 SICK: 0012 OT: 0000 HOL: 0008 HRS.LOST: 0000

URMC USERID: RST0054 NAME: STORIE, ROY V PL OF ASMT: HJ

----- URMC DATA ENTRY -----

01 11 INCIDENT TIME : 11:00-11:59A

02 18 DEPT OF ASGNMT: SECURITY

03 25 SPEC. LOCATION: HIGHWAY/ROAD/STREET

04 36 ACTIVITY : SEARCHING

05 23 OCCURRENCE TYP: WEATHER RELATED (OVEREXPOSURE TO HEAT/COLD/WIND, ETC.)

06 16 TYPE OF INJURY: HEAT EXHAUSTION/HEAT STROKE/HEAT CRAMPS

07 15 BODY PART INJ : INTERNAL

08 01 SEV. OF INJURY: MINOR: ANY ONE-TIME TREATMENT, OR FOLLOW UP VISIT

\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

## COMPLETE INCIDENT REPORT

PAGE: 0002

09 75 INJURY CAUSE : OVER-EXPOSURE: HEAT RELATED  
10 28 UNSAFE COND : OVEREXPOSURE TO WEATHER CONDITIONS (HEAT/COLD/WIND ETC.  
11 28 UNSAFE ACT : USER DESCRIPTION

SPECIFY OTHER: (UPDATE USERID: RST0054 DATE: 08/01/2012 TIME: 14:56:23)  
NOT DRINKING ENOUGH WATER

J. Y -EXISTING RULE, REGULATION, OR STANDARD (Y/N)  
K. Y -EXISTING RULE, REGULATION, OR STANDARD VIOLATED (Y/N)  
M. Y -CORRECTIVE ACTION TAKEN TO PREVENT REOCCURRENCE (Y/N)

CASE SUMMARY: DEHYDRATED FROM WORKING IN HEAT & NOT DRINKING H2O

RCMMD CA: 01 CA: 01 CA DATE: 08/01/2012

EAC#: I 10834 07 12 UOF#: M \_\_\_\_\_ CONTESTED (Y/N): N

----- RISK MANAGEMENT DATA ENTRY -----

TWCC1: MM/DD/CCYY WORKERS COMPENSATION #: \_\_\_\_\_ DENIED (Y/N): N

-----  
\*\*MORE RECORDS TO BE DISPLAYED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

CSSIR042

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

02/05/2013

RDA5325/2189

RISK MANAGEMENT INCIDENT REPORTING SYSTEM

14:59:23PM

## COMPLETE INCIDENT REPORT

PAGE: 0003

NARRATIVE: INQ NARRATIVE (UPDT UID: RST0054 DATE: 08/01/2012 TIME: 14:56:23) ON 7/28/2012 AND AT APPROXIMATELY 11:00 AM CO III [REDACTED] SS # [REDACTED] FELL ILL AT HER DUTY POST. CO III [REDACTED] IS A PART TIME EMPLOYEE. CO III [REDACTED] ASSIGNED DUTY POST AT THE TIME OF ILLNESS WAS THE HIGHWAY GATE. THE TEMPERATURE AT THE TIME WAS 96 DEG. AT THE END OF HER SCHEDULED SHIFT OFFICER [REDACTED] SOUGHT MEDICAL ATTENTION AT THE DALLAS REGIONAL MEDICAL CENTER. OFFICER [REDACTED] DID NOT REPORT BACK TO THE UNIT UNTIL 7/31/2012, AT WHICH TIME A WORKMANS COMP. PACKET WAS COMPLETED BY HUMAN RESOURCES.

\*\*LAST RECORD SELECTED\*\*

PF1 -HLP PF3 -SI04 PF5 -INFOPAC PRT PF7 -BKWD PF8 -FRWD PF9 -SCRN PRNT  
PF12 -SI00

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA §  
McCOLLUM, individually, and STEPHANIE §  
KINGREY, individually and as independent §  
administrator of the Estate of LARRY GENE §  
McCOLLUM, §  
PLAINTIFFS §  
§  
v. § CIVIL ACTION NO.  
§ 4:14-cv-3253  
§ JURY DEMAND  
BRAD LIVINGSTON, JEFF PRINGLE, §  
RICHARD CLARK, KAREN TATE, §  
SANDREA SANDERS, ROBERT EASON, the §  
UNIVERSITY OF TEXAS MEDICAL §  
BRANCH and the TEXAS DEPARTMENT OF §  
CRIMINAL JUSTICE. §  
DEFENDANTS §

Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 178**

# TEXAS DEPARTMENT OF CRIMINAL JUSTICE

## Risk Management Program



### EXECUTIVE SUMMARY

### EMPLOYEE AND OFFENDER INJURIES AND

### WORKERS' COMPENSATION CLAIMS COST

### ANNUAL REPORT FY2011

Robert C. Warren  
Risk Management Specialist V  
Risk Management  
P. O. Box 99  
Huntsville, Texas 77342-0099  
(936) 437-4806  
(936) 437-4812 (fax)  
<http://www.tdcj.state.tx.us/adminrvw/adminrvw-riskmgt.htm>

## Overview

The following outcome measures are provided for your review. The statistical information contained in the TDCJ Risk Management Executive Summary is derived from injury data reported by TDCJ Unit Risk Managers, in conjunction with the State Office of Risk Management (SORM) Workers' Compensation Division. SORM is the workers' compensation insurance carrier for TDCJ.

### **Workers' Compensation Fiscal Year-to-Date Expenditures:**

August 2010: \$ 14,118,170.55

August 2011: \$ 15,312,794.32 (Increased by \$1,194,623.77)

#### **Employee Injuries:**

August 2010: 441

August 2011: 410 (Decreased by 31)

#### **Predominate causes of employee injuries for August 2011:**

- Slips, trips, or falls (82 combined for 20%)
- Struck by or against (74 combined for 18%)
- Offender assault (64 for 16%)
- Overexertion (45 for 11%)
- Caught in, on or between (37 for 9%)
- Weather related (37 for 9%)

#### **Units reporting the most employee injuries:**

- Polunsky (18)
- Estelle and Coffield (17 each)
- Crain (14)
- McConnell (13)
- Young Medical (12)
- Beto (11)

#### **Offender Injuries:**

August 2010: 1,322

August 2011: 1,136 (Decreased by 186)

#### **Predominate causes of offender injuries for August 2011:**

- Struck by or against (307 combined for 27%)
- Slips, trips, or falls (191 combined for 17%)
- Offender assault (189 for 17%)
- Self-inflicted (119 for 10%)
- Overexertion (79 for 7%)
- Contact w/temperature extremes (72 for 6%)

#### **Units reporting the most offender injuries:**

- Montford (34)
- Michael, Stiles, and Clements (31 each)
- Crain (27)
- Ramsey (26)
- Hobby (24)
- Darrington (23)

Year-to-date employee Injury Frequency Rate (IFR): **11.3**

Year-to-date claims Injury Frequency Rate (IFR): **5.0**

**Employee Injury Frequency Rate (IFR):** The IFR is calculated by multiplying the number of injuries by 200,000 (exposure of 100 employees working 40 hours per week for 50 weeks per year) and dividing that product by the total hours worked by all employees during the fiscal year to date (the number of employees listed on Monthly Report "Total Employee Counts by Payroll Distribution Code" {ID PAY77PDC} multiplied by the product of 168 {average hours worked per month} multiplied by the number of months worked in this fiscal year-to-date).

**Claims Injury Frequency Rate (IFR):** The IFR for accepted claims is calculated by multiplying the number of accepted claims by 100 (per 100 employees working 40 hours per week for 50 weeks per year) and dividing that product by the average monthly strength.

**NOTE:** Please notify this office if you do not wish to receive this report.

## FY2011 YTD Employee Injuries

													TOTAL	FY10	FY11	
														Average Monthly Injuries Sep-Aug	Average Monthly Injuries Sep-Aug	Injury Frequency Rate
Region 1	79	55	80	54	55	58	53	57	54	87	79	80	791	72	66	12.2
Region 2	33	47	32	39	42	71	53	49	56	72	55	70	619	56	52	11.3
Region 3	64	59	57	46	55	67	69	39	65	81	46	70	718	62	60	11.3
Region 4	80	33	41	47	47	67	67	59	50	59	76	64	690	59	58	14.3
Region 5	64	44	56	41	41	53	56	41	48	47	41	39	571	61	48	10.2
Region 6	64	62	50	47	54	58	48	57	63	69	56	64	692	64	58	12.4
Parole *	6	11	4	6	3	12	9	8	12	3	13	1	88	7	7	3.3
Administration	35	46	24	18	26	23	31	19	19	31	28	22	322	20	27	11.2
<b>Totals</b>	<b>425</b>	<b>357</b>	<b>344</b>	<b>298</b>	<b>323</b>	<b>409</b>	<b>386</b>	<b>329</b>	<b>367</b>	<b>449</b>	<b>394</b>	<b>410</b>	<b>4,491</b>	<b>400</b>	<b>374</b>	<b>11.3</b>

## Employee Injuries by Cause

													TOTAL	FY10	FY11	
														Average Monthly Injuries Sep-Aug	Average Monthly Injuries Sep-Aug	Injury Frequency Rate
Animal Bite	0	3	0	1	1	0	1	0	0	1	0	3	10	0	1	0.0
Bodily Reaction	3	0	4	4	6	3	2	3	4	5	6	6	46	5	4	0.1
Caught In/On/Btwn	32	34	20	23	24	27	23	34	29	36	34	37	353	37	29	0.9
Cont w/Chemicals	6	5	7	1	6	3	3	5	6	6	1	4	53	4	4	0.1
Contact w/Electricity	2	1	0	1	1	1	1	0	2	1	2	2	14	1	1	0.0
Cont w/Temp Extr	5	1	2	0	6	3	3	1	3	1	4	2	31	3	3	0.1
Exp Envimnt Hzd	3	6	1	5	6	3	5	1	6	4	5	11	56	4	5	0.1
Fall Different Level	12	11	15	18	12	20	21	12	9	16	12	13	171	19	14	0.4
Fall Same Level	53	38	32	40	38	130	56	34	32	36	34	38	561	49	47	1.4
Horse Related	5	2	6	1	3	1	6	6	6	12	4	4	56	4	5	0.1
Insect Bite	27	17	10	11	10	7	16	18	15	25	17	19	192	12	16	0.5
Medical Condition	18	17	9	5	8	8	6	9	13	18	10	16	137	9	11	0.3
Offender Assault	64	47	63	46	55	60	63	71	57	102	72	64	764	81	64	1.9
Overexertion	53	41	44	31	44	39	49	36	52	49	46	45	529	42	44	1.3
Slip-Not a Fall	35	28	21	24	20	32	28	26	35	21	22	31	323	28	27	0.8
Staff-Staff Assault	1	0	1	2	1	1	1	0	0	1	3	1	12	1	1	0.0
Struck Against	67	78	76	56	57	39	65	52	67	56	64	49	726	62	61	1.8
Struck By	28	20	32	28	22	18	34	16	27	26	31	25	307	30	26	0.8
Vehicular	7	6	1	1	3	4	3	3	4	5	7	3	47	5	4	0.1
Weather Related	4	2	0	0	0	10	0	2	0	28	20	37	103	6	9	0.3
<b>Totals</b>	<b>425</b>	<b>357</b>	<b>344</b>	<b>298</b>	<b>323</b>	<b>409</b>	<b>386</b>	<b>329</b>	<b>367</b>	<b>449</b>	<b>394</b>	<b>410</b>	<b>4,491</b>	<b>400</b>	<b>374</b>	<b>11.3</b>

\* Of the 1 Parole injury reported for this current month, 0 were attributed to Texas Board of Pardons and Paroles employees.

**Employee Injuries Resulting in a Workers' Compensation Claim Accepted by SORM**

														FY10 Average Monthly Sep-Aug	FY11 Average Monthly Sep-Aug	Claims Frequency Rate
	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	TOTAL			
Region 1	42	22	42	25	23	27	26	24	19	35	32	40	357	32	30	5.5
Region 2	11	15	14	15	17	31	28	20	30	30	21	37	269	22	22	4.9
Region 3	25	17	25	22	23	30	25	17	24	43	31	30	312	25	26	5.0
Region 4	31	12	17	20	21	33	28	24	29	22	21	23	281	29	23	5.9
Region 5	33	10	24	14	13	29	26	23	16	21	20	19	248	21	21	4.5
Region 6	28	24	22	17	20	26	21	19	20	33	31	29	290	27	24	5.2
Parole	5	9	7	3	5	7	7	4	8	5	7	3	70	5	6	2.6
Administration	14	12	17	8	20	12	17	10	10	13	15	4	152	10	13	5.3
<b>Totals</b>	<b>189</b>	<b>121</b>	<b>168</b>	<b>124</b>	<b>142</b>	<b>195</b>	<b>178</b>	<b>141</b>	<b>156</b>	<b>202</b>	<b>178</b>	<b>185</b>	<b>1,979</b>	<b>172</b>	<b>165</b>	<b>5.0</b>

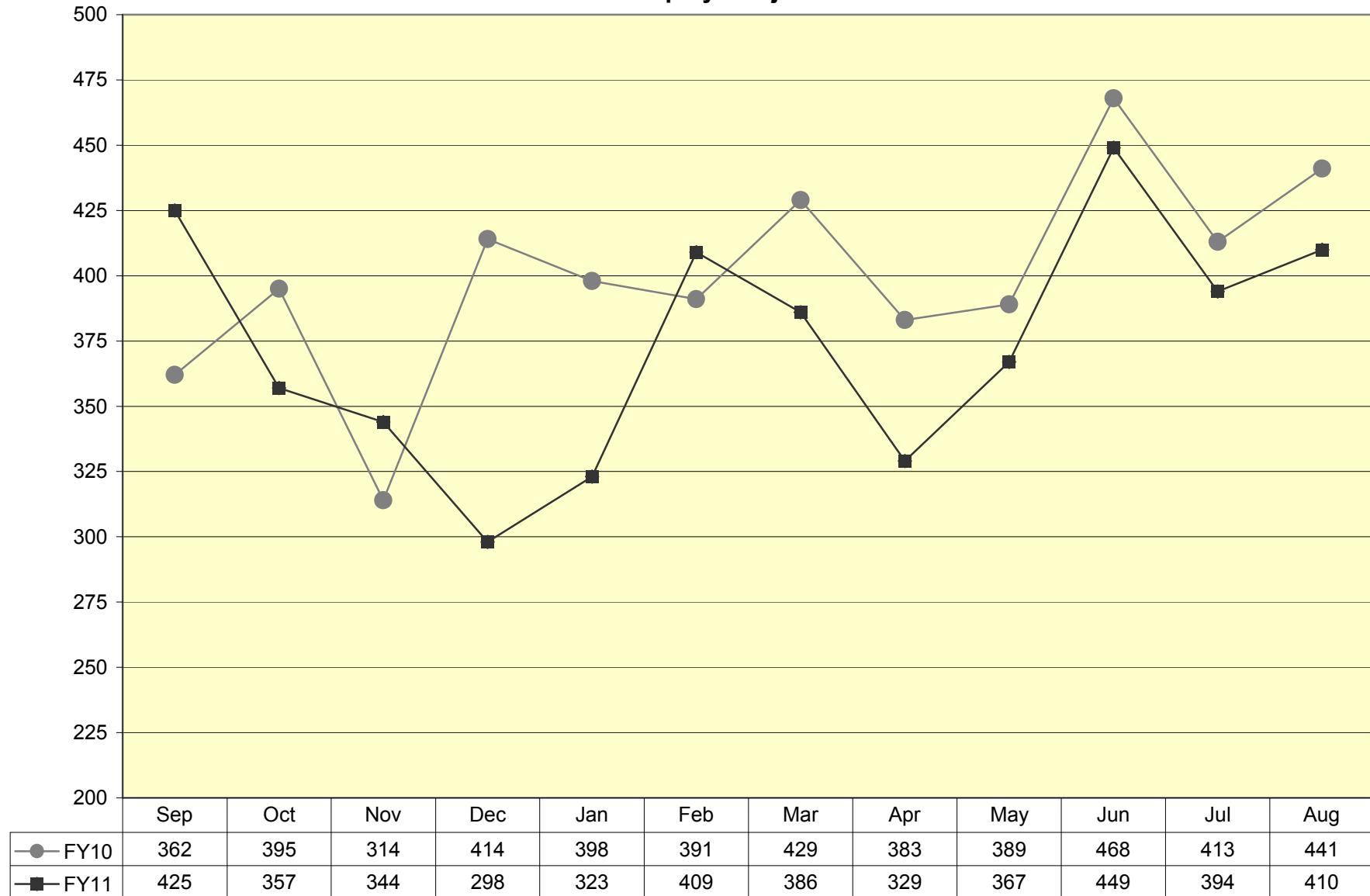
Numbers are shown in the month the claim was filed with SORM, which may not be the month in which the injury occurred.

**Workers' Compensation Claims Cost Data**

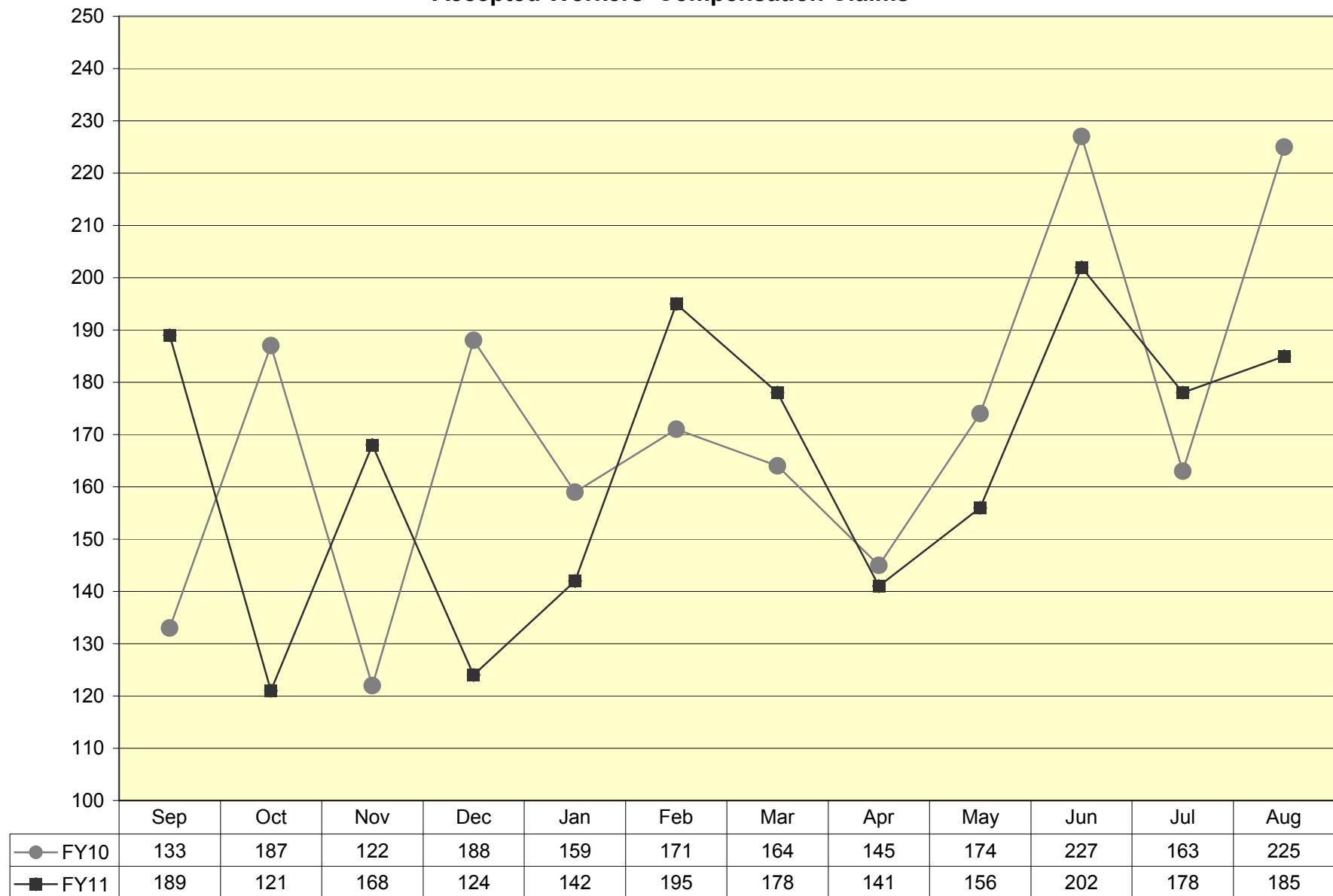
	Total Claims Cost FYTD	Total Claims Cost FYTD Filed Prior to FY10	Total Claims Cost FYTD Filed In FY10-11	Average Cost per Claim	# of Claims
Region 1	\$2,640,370.75	\$954,025.63	\$1,686,345.12	\$5,207.83	507
Region 2	\$2,282,040.06	\$763,457.14	\$1,518,582.92	\$5,579.56	409
Region 3	\$2,961,895.12	\$772,087.40	\$2,189,807.72	\$6,495.38	456
Region 4	\$2,283,924.62	\$585,719.62	\$1,698,205.00	\$5,167.25	442
Region 5	\$1,647,102.79	\$316,471.64	\$1,330,631.15	\$4,976.14	331
Region 6	\$1,736,995.38	\$758,383.63	\$978,611.75	\$4,125.88	421
Parole	\$566,128.66	\$128,122.17	\$438,006.49	\$6,087.40	93
Administration	\$1,194,336.94	\$362,658.68	\$831,678.26	\$6,709.76	178
<b>Totals</b>	<b>\$15,312,794.32</b>	<b>\$4,640,925.91</b>	<b>\$10,671,868.41</b>	<b>\$5,397.53</b>	<b>2,837</b>

The claims cost YTD figures reflect current FY expenditures, including claims filed in past years that are still incurring costs. They do not include past expenditures for past claims that are currently inactive and no longer incurring costs.

**Risk Management Program  
Fiscal Year Comparison of  
Total Employee Injuries**



**Risk Management Program**  
**Fiscal Year Comparison of**  
**Accepted Workers' Compensation Claims**



## FY2011 YTD Offender Injuries

													TOTAL	FY10		FY11	
														Average Monthly Injuries Sep-Aug		Average Monthly Injuries Sep-Aug	
	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug					
Region 1	133	123	115	79	88	106	118	118	164	198	137	181	1,560	130	130	0.5	
Region 2	129	150	148	109	142	105	125	150	149	204	143	164	1,718	182	182	0.6	
Region 3	133	124	91	82	107	91	137	144	127	141	168	164	1,509	123	123	0.5	
Region 4	132	97	102	99	103	97	129	103	142	153	136	126	1,419	120	118	0.5	
Region 5	190	163	154	159	162	141	186	166	170	185	180	187	2,043	205	170	0.8	
Region 6	171	165	149	146	127	112	157	129	154	176	154	195	1,835	150	153	0.7	
Private Fac	134	137	113	72	90	92	88	101	104	100	126	119	1,276	102	106	0.6	
<b>Totals</b>	<b>1,022</b>	<b>959</b>	<b>872</b>	<b>746</b>	<b>819</b>	<b>744</b>	<b>940</b>	<b>911</b>	<b>1,010</b>	<b>1,157</b>	<b>1,044</b>	<b>1,136</b>	<b>11,360</b>	<b>1,013</b>	<b>947</b>	<b>0.6</b>	

## Offender Injuries by Cause

													TOTAL	FY10		FY11	
														Average Monthly Injuries Sep-Aug		Average Monthly Injuries Sep-Aug	
	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug					
Animal Bite	4	5	4	2	3	1	1	3	4	6	2	3	38	3	3	0.0	
Bodily Reaction	8	3	7	3	3	1	4	4	4	10	14	22	83	8	7	0.0	
Caught In/On/Btwn	39	49	43	34	39	32	39	44	52	56	45	46	518	50	43	0.0	
Cont w/Chemicals	17	14	11	17	13	13	8	12	6	14	16	22	163	12	14	0.0	
Contact w/Electricity	1	2	0	1	0	0	1	0	2	2	2	4	15	1	1	0.0	
Cont w/Temp Extr	84	79	63	48	55	63	67	61	73	82	81	72	828	77	69	0.0	
Exp Envimnt Hzd	2	2	0	0	0	0	0	0	1	1	1	0	7	1	1	0.0	
Fall Different Level	37	24	41	33	38	33	35	35	54	30	34	45	439	40	37	0.0	
Fall Same Level	91	96	74	70	96	80	92	91	94	105	102	101	1,092	99	91	0.1	
Horse Related	4	2	2	3	1	2	6	7	9	7	4	6	53	4	4	0.0	
Insect Bite	31	18	9	4	1	3	10	3	17	18	13	21	148	15	12	0.0	
Medical Condition	7	15	14	11	16	9	15	10	11	26	12	17	163	14	14	0.0	
Offender Assault	137	132	146	115	138	118	121	152	145	182	158	189	1,733	144	144	0.1	
Overexertion	70	65	69	52	57	48	66	64	85	93	67	79	815	69	68	0.0	
Self-Inflicted	100	75	79	96	87	86	106	94	92	128	116	119	1,178	93	98	0.1	
Slip-Not a Fall	55	65	44	37	39	48	52	60	48	73	60	45	626	54	52	0.0	
Struck Against	198	193	167	126	149	137	187	162	177	181	181	198	2,056	196	171	0.1	
Struck By	120	120	99	94	83	68	126	106	134	133	119	109	1,311	125	109	0.1	
Vehicular	8	0	0	0	1	0	1	1	0	0	2	1	14	1	1	0.0	
Weather Related	9	0	0	0	0	2	3	2	2	10	15	37	80	6	7	0.0	
<b>Totals</b>	<b>1,022</b>	<b>959</b>	<b>872</b>	<b>746</b>	<b>819</b>	<b>744</b>	<b>940</b>	<b>911</b>	<b>1,010</b>	<b>1,157</b>	<b>1,044</b>	<b>1,136</b>	<b>11,360</b>	<b>1,013</b>	<b>947</b>	<b>0.6</b>	

Numbers are shown in the month the injury was entered in the Risk Management Safety Incident Reporting System, which may not be the month in which the injury occurred.

## Definitions of Injury Causes

**Animal Bite** – bite or scratch from a dog, cat, snake, rat, and other similar situations

**Bodily Reaction** - change in body function caused by allergic reaction, e.g., poison ivy

**Caught In, On, or Between** - a pinch point type injury that involves mashing or squeezing, e.g., caught finger in door, caught finger in between pulley, door shut on foot

**Contact with Chemicals** – splashed by liquid chemicals, dry particles inhaled, overcome by fumes of chemical agents, natural gas, vehicle exhaust, ammonia, insecticide, and other similar situations

**Contact with Electricity** - electrical shock from bad plug and other similar situations

**Contact with Temperature Extremes** - burns caused by heat or cold, e.g., burned hand in deep fryer, oven, and other similar situations

**Exposure to Environmental Hazards** - hazards such as radiation, exposure to infectious diseases, and other similar situations

**Fall on Different Level** - fall off of object that has to be climbed or stepped on, e.g., stairs, fell out of bunk, fell off picket ladder, fell stepping down from truck or trailer, and other similar situations

**Fall on Same Level** - fall while standing, walking or sitting, e.g., fell out of chair, slipped on wet floor and fell, tripped over some object and fell, and other similar situations

**Horse Related** - thrown by horse, fall off horse, and other similar situations

**Insect Bite** - bitten or stung by spiders, wasps, ants, unknown insects, and other similar situations

**Medical Condition** – resulting from pre-existing medical conditions, e.g., seizures, chest pains, dizziness, and other similar situations

**Offender Assault** - physically attacked by offender, hit, bit, struck by liquid, and other similar situations, or injuries resulting from attacking an offender, e.g., bruised or scraped knuckles

**Overexertion** - caused by body movement, sprain, strain or muscle or skeleton type injury, e.g., bending, lifting, pushing, pulling, turning, walking, and other similar situations

**Self-Inflicted** - suicide attempt, cutting for purposes of self-mutilation, tattooing, and other similar situations

**Slip (Not a Fall)** - a slip or trip causing pulled muscles or strains that does not result in a fall

**Staff on Staff Assault** - assault by co-worker

**Struck Against** - part of body hitting an object, e.g., walking into a fixed object, sticking finger with tattoo needle, bumped into rail, cut hand on wire, and other similar situations

**Struck By** - moving or falling object hitting the body, e.g., lid fell on arm, hit by ball, foreign object in eye, and other similar situations

**Vehicular** - injury received as a driver or passenger in vehicle or trailer and other similar situations

**Weather Related** - extremes in weather temperatures, e.g., heat exhaustion, sunburn, frostbite, and other similar situations

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA §  
McCOLLUM, individually, and STEPHANIE §  
KINGREY, individually and as independent §  
administrator of the Estate of LARRY GENE §  
McCOLLUM, §  
PLAINTIFFS §  
§  
v. § CIVIL ACTION NO.  
§ 4:14-cv-3253  
§ JURY DEMAND  
BRAD LIVINGSTON, JEFF PRINGLE, §  
RICHARD CLARK, KAREN TATE, §  
SANDREA SANDERS, ROBERT EASON, the §  
UNIVERSITY OF TEXAS MEDICAL §  
BRANCH and the TEXAS DEPARTMENT OF §  
CRIMINAL JUSTICE. §  
DEFENDANTS §

Plaintiffs' Consolidated Summary Judgment Response Appendix

**EXHIBIT 179**

Name	TD/CJ.	UOA	Date	Other Heat Rx	Ground Floor	No Temp Ext	No Humidity	ER	Hospitalized	Death	Inside	Outside	Housing	Work	Rec	Chow	Other	Comments
BYRD, PHILIP	1674233	T1	6/8/11								X			X	X			
GONZALEZ, JUAN	1706758	T1	6/8/11								X			X	X			
BAILEY, EDDY	16968352	GG	8/10/11								X			X	X			
ESPIGA, FRANK	1224251	J3	6/10/11	X							X			X	X			
HAROS, JOE	1634505	T1	6/10/11	X							X			X	X			
SAM, KRISTEN	1710051	GV	6/10/11	X							X			X	X			
PHELPS, DUNAL	774270	B2	6/11/11	X							X			X	X			
PUTMAN, JESSE	1074439	HV	6/12/11	X							X			X	X			
CLAYBROOK, AMBER	1710094	LJ	6/13/11								X			X	X			
MORGAN, JOHNETTA	1705891	LJ	6/13/11								X			X	X			
WALKER, LORENZA	1441437	RV	6/13/11								X			X	X			
MYERS, BYRON	1265529	B1	6/14/11								X			X	X			
REMO, SHANNON	1710097	LJ	6/14/11								X			X	X			
ARDOIN, WHEATON, JEFF	1529312	DB	6/15/11	X							X			X	X			
EDWARDS, JIMMY	1537033	SB	6/15/11								X			X	X			
HUNTER, JEREMIAH	1555851	SB	6/15/11	X							X			X	X			
LEJIA, JOSE	1461829	SB	6/15/11								X			X	X			
SEIGRIST, VENUS	1714318	LJ	6/16/11	X							X			X	X			COMMISSARY LINE
AGHALA, DAVID	1715844	ND	6/18/11	X							X			X	X			
BOYKIN, MICHAEL	889085	HI	6/18/11	X							X			X	X			
CLAYBROOK, AMBER	1710094	LJ	6/19/11								X			X	X			
MORGAN, JOHNETTA	1705891	LJ	6/19/11								X			X	X			
REMO, SHANNON	1710097	LJ	6/19/11								X			X	X			
GARCIAS, BILLY	1715260	NE	6/21/11								X			X	X			
DODD, RAYNELL	1562253	SB	6/21/11								X			X	X			
MEDLIN, ANDREAS	1568775	GG	6/29/11								X			X	X			
GUTIERREZ, ROBERT	1668775	GG	6/29/11								X			X	X			
ASSMATT, WAYNE	1398891	E1	6/30/11	X							X			X	X			
GILBERT, RAYMOND	6970553	E2	6/30/11								X			X	X			
REMO, SHANNON	1710097	LJ	7/1/11								X			X	X			
GARCIAS, BILLY	1715260	NE	7/3/11								X			X	X			
DODD, RAYNELL	1507165	HB	7/8/11	X							X			X	X			
CARR, J. THOMAS	1405931	HV	7/9/11								X			X	X			
HINDS, JULIE	1450150	HB	7/11/11	X							X			X	X			
ROBERS, S. WILLIAM	1717525	DU	7/11/11								X			X	X			
SUMMERS, STEVEN	1668914	J3	7/11/11								X			X	X			
DAVISON, RAYFORD	1697047	NF	7/12/11	X							X			X	X			
ACUNA, VICTOR	1635974	GG	7/13/11								X			X	X			
JONES, DANARET	1660200	SB	7/13/11	X							X			X	X			
STOKES, RONALD	550498	B1	7/13/11								X			X	X			
CUMMING, C. ROBERT	1115793	RH	7/18/11								X			X	X			
HESTER, LEO	15153910	RH	7/18/11								X			X	X			
MENDOZA, DAVID	15777590	CN	7/18/11								X			X	X			
MOREHEAD, EARL	1717792	NH	7/18/11								X			X	X			
WOTIPKA, GLENN	13988406	SB	7/19/11								X			X	X			
GRAVES, DAVID	1722578	NE	7/21/11								X			X	X			
MCCOLLUM, LARRY	1721640	HJ	7/22/11								X			X	X			
EASLEY, JACKIE	1567760	ST	7/26/11	X							X			X	X			
BOATWRIGHT, THOMAS	1650325	LH	7/27/11								X			X	X			
PALOMO, PABLO	1560862	VS	7/28/11	X							X			X	X			
MIZELLO, DAVID	410266	E1	7/30/11								X			X	X			
REMO, SHANNON	1710097	LJ	7/31/11								X			X	X			
MEYERS, THOMAS	680315	CO	8/21/11								X			X	X			
SWANSON, THOMAS	1638879	HD	8/21/11	X							X			X	X			
WIECHT, JEFF, JON	1719861	GG	8/21/11								X			X	X			
BLACK, SHEARRY	1487435	HB	8/23/11								X			X	X			
DOUGLASS, TONNIE	760759	TO	8/23/11								X			X	X			
SEGARS, CLIFFORD	1649677	WY	8/23/11								X			X	X			
SEGHEMELIE, JUAN	1701217	E1	8/31/11								X			X	X			
SETTLES, EDWARD	1559637	BY	8/31/11								X			X	X			
SIMS, TERRY	1698626	CL	8/31/11								X			X	X			
SWEET, CP, PAUL	1548013	EA	8/31/11								X			X	X			
AGEE, QUSTIN	1043938	MI	8/4/11								X			X	X			
COCKERILL, RONNIE	1724331	ND	8/4/11								X			X	X			
DOYLE, STEVEN	1558013	MI	8/4/11								X			X	X			
MORGAN, THOMAS	1678229	E1	8/4/11								X			X	X			
OLDS, STEPHEN	1703224	LN	8/4/11								X			X	X			
PEEK, BRENT	1491915	MI	8/4/11								X			X	X			
REEVES, ROBERT	400731	CO	8/4/11								X			X	X			

## Possible Heat-Related Illnesses 6/1/11 thru 8/18/11

INTAKE INTO TDC 180411	
ALL, MARK	1698-560 CL 8/4/11
TEBB, ROBERT	1569-761 HD 8/4/11 X
ICE, STEVEN	1683-764 EA 8/5/11 X
OTO, VICENTE	1437-057 E1 8/5/11
ING, SPENCER	749021 MI 8/6/11 X
TOOK, CHARLES	1457-546 HD 8/8/11 X
ALL, BRUCE	1725-298 E2 8/8/11
ARTONE, MICHAEL	1395-315 HV 8/8/11 X
OGONIDZE, ALEXANDER	1578-039 MI 8/8/11 X
EDMING, RAYMOND	1298-849 JA 8/9/11 X
EDMING, STEVEN	1726-709 DU 8/10/11 X

